

If you have subscribed to your Equiniti Shareview Dealing ISA in this or the previous tax year (the tax year runs from 6 April–5 April), then you can use this simplified form. Please complete this form if you already have an Equiniti Shareview Dealing ISA. If you have any questions about completing this form, please call our Customer Experience Centre on 0345 300 0430.

Please complete all fields in this form (where applicable).

Section A Your personal details

Title Mr Mrs Miss Ms Other

Last Name(s)

First Name(s)

Shareview Dealing Account Number

Section B Funding your ISA

Please indicate the way you would like to fund your ISA and the amount you want to invest by completing the appropriate box(es). If you would prefer to fund your ISA using your debit card, please log on to your account and make the payment online, or alternatively call our Customer Experience Centre on 0345 300 0430 with your debit card to hand.

The maximum subscription for a stocks and shares ISA is £20,000 for the tax year 2020/21 (less any contributions made to any combination of permitted ISAs).

**A) Cheque from an account in your own name
(made payable to Equiniti Financial Services Limited)**

B) Transferring money from your Equiniti Investment Account

C) Using your nominated bank account

If you wish to make regular payments these will be debited on the 27th of each month or the following working day.

Single payment amount

Regular payment amount

D) Transfer shares from an eligible scheme:

You must transfer the shares within 90 days of the exercise of option date and include a CREST Transfer Form for each holding.

• **a savings related share option scheme**

Please provide a copy of the Exercise of Option notice to prove that the shares have been transferred from this type of scheme. (You must transfer the shares into an ISA within 90 days of the exercise of option date).

• **a share incentive plan (SIP)**

Please provide a copy of the award notice where you have been awarded free, partnership and/or matching shares. If you have received dividend shares, please provide a copy of the notice of acquisition. (You must transfer shares from a share incentive plan into an ISA within 90 days after the shares ceased to be subject to plan).

Section C Enclosures

Use this checklist to check you have enclosed all supporting documents.

Maturity Notice

Please ensure that you have enclosed all required maturity or vesting documents.



CREST Transfer Form

Please ensure that you have enclosed a completed CREST Transfer Form for your ISA.



Section D Declaration and Authority

Please read this section carefully before signing the Declaration

I apply to subscribe for an Equiniti Shareview Dealing ISA for the tax year 2020/21 and each subsequent year until further notice.

I declare that:

- All subscriptions made, and to be made, belong to me.
- I am 18 years of age or over.
- I have not subscribed and will not subscribe more than the overall subscription limit in total to any combination of permitted ISAs in the same tax year.
- I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA.
- I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crowd employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I shall inform Equiniti Financial Services Limited if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
- I authorise Equiniti Financial Services Limited:
 - to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
 - to make on my behalf any claims to relief from tax in respect of ISA investments.
- Where applicable, I appoint Equiniti Financial Services Limited to undertake the transactions enclosed with this declaration.
- I am aware that in certain circumstances Equiniti will be obliged to share some of this information with UK tax authorities, who may pass it on to other tax authorities.
- This service is managed and administered in accordance with the Terms and Conditions which can be viewed on our website or sent to you upon request. This is our standard client agreement upon which we intend to rely. For your own benefit and protection you should read these terms carefully before completing this form.
- I declare that this application form has been completed to the best of my knowledge and belief and will promptly inform you of any changes to my circumstances.
- I permit Equiniti Financial Services Limited to submit the data provided by me in this application to Experian or any other external database used by Equiniti Financial Services Limited for the purpose of verifying my identity. A record of the search will be retained.

Signature

Date

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Please return this form and attachments to:

Equiniti
PO Box 4605
Aspect House
Spencer Road
Lancing
BN99 6QY