

Equiniti Shareview Dealing

Third Party Regulatory Details Form

Certificated & CSN Trading only



This form should be completed by any Third party (IFA/Guardian/Power of Attorney) who trades via the Shareview Dealing Service on behalf of an Equiniti customer to update their personal/regulatory details.

How to complete the form:

- If you are acting in a personal capacity please complete Sections A, B, C & E
- If you are an IFA or any non-personal entity, acting on behalf of a customer, please complete Sections A, D & E
- Please list all the account names and numbers to which you are a party.

If you have any questions about this form, please call the Customer Experience Centre on **03456 037 037** .

Section A: Account details/Reference

Account name/number

Shareholder Reference

Section B: Personal details

Title	Mr	Mrs	Miss	Ms	Other
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First name(s)

Last name(s)

Postal address

Telephone Number

Date of Birth

Email address

Day Month Year

Section C: Nationality details

Nationality details:

Please disclose your nationality details, by completing the country of each (up to three) in the boxes below.

Primary nationality details:

If you have sole UK nationality, please provide your National Insurance number here and continue to Section D.

For all other customers, you will need to establish your primary nationality and complete the relevant National Identifier & national ID Code in the box below, according to the instructions in the Appendix (at the end of the form) or using www.nationalitycalculator.co.uk.

Tick if unable to provide

First priority

Second priority

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Section D: IFA's/Non-Personal Third Parties only

Firm's name

Postal address

LEI number

If you require any information regarding LEIs please visit www.lseg.com/LEI

If you are a Financial Advisor, please indicate below whether you are acting as a Discretionary Manager or as a Non-Discretionary Manager

Discretionary Manager

Non-Discretionary Manager

Section E: Declaration

I declare that this form has been completed to the best of my knowledge and belief, and I will promptly inform Equiniti of any changes in my circumstances.

Sign the form

To sign this form you may provide an electronic signature. You can do this via your Adobe Acrobat Reader DC, or DocuSign which is a free of charge service.

Instructions to create an electronic signature are as follows:

1. On Adobe open the file, or on DocuSign upload the file
2. In the editor, select sign and draw your signature. Then place your signature in the signed box below
3. Save the document and follow the return instruction below.

Please note, we cannot accept a typed or written name in the box below. Alternatively, you may print and sign the document

Sole or First Named Holder

Signed

Date

Day

Month

Year

Agents signature

Signed

Date

Day

Month

Year

To return your form, please send to enquiries@equinitishareviewdealing.com, this and all related documents must be received from the email on your instruction. Forms received from an unregistered email account will not be actioned, if you have any queries related to the completion of this form, please contact the Customer Experience Centre on: 03456 037 037 Lines are open 08:00 - 17:30 Mon - Fri, excluding UK public holidays. Alternatively you can post the form to: **Equiniti, PO Box 4605, Aspect House, Spencer Road, Lancing, West Sussex, BN99 6QY.**

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Appendix: National Identifier Instructions

Below, you will find a table that lists the countries of the European Economic Area (EEA), including a section for any non-EEA countries, and details of each country's requested National Identifier. Following the instructions and using the information provided in the table, please establish your primary nationality & complete the relevant National Identifier number in Section C. Alternatively you can refer to www.nationalitycalculator.co.uk.

You are not required to complete the National Identifier details in Section C if you are a UK national only.

Using the table

1. Establishing your primary nationality

Referring to the table below and the country column, whichever of your nationalities is listed highest will be your primary nationality. (Please note, the countries are ordered alphabetically according to the National ID Code). *E.g. if you are a national of the United Kingdom and Spain, as Spain is listed higher than the UK in the country column list, your primary nationality will be Spain.*

2. Identifying your National Identifier

Once you have established your primary nationality, you must provide us with the relevant National Identifier details as requested in the table, in the order of priority as indicated in each field. Please refer to the country specific instructions in the table for further guidance. *E.g. using the same example as above, if your country of primary nationality is Spain, you will need to complete your Spanish Tax Identification Number & the National ID Code (ESI) in Section C.*

Please note:

- If you are unable to provide a National Identifier number as requested, please complete the National ID Code(s) & tick the box in Section F to confirm that you are unable to provide the details.
- You only need to provide one National Identifier in the order of priority listed in each field. *E.g. if you have established that your country of primary nationality is Czech Republic, you should only provide us with your Czech Republic National Passport Number if you are unable to provide your national identification number in the first instance and tick to confirm you are unable to provide the first priority National Identifier.*
- *If you have established your primary nationality as one of the following countries, Estonia, Spain, Iceland, Italy, Malta or Poland and you are unable to provide a National Identifier as requested, trading rules will prevent us from accepting your instruction to trade or transfer.

Please contact the Customer Experience Centre if you have any questions regarding this table and/or completing the relevant details in Section C.

Country	National Identifier	National ID Code	Country specific instructions for completing Section C
Austria	No additional data required	ATI	Leave the National Identifier & National ID Code boxes blank.
Belgium	Belgian National Number (Numéro de register national – Rijksregisternummer)	BEI	Enter the requested National Identifier and National ID Code details, or tick to confirm if you do not have this.
Bulgaria	Bulgarian Personal Number	BGI	
Cyprus	National Passport Number	CYI	
Czech Republic	First Priority: National Identification Number (Rodné číslo)	CZI	Enter the National Identifier & National ID Code details in the order of priority indicated. If you are unable to provide the first priority, tick to confirm this and complete the second priority details, or tick to confirm you do not have it.
	Second Priority: National Passport Number		
Germany	No additional data required	DEI	Leave the National Identifier & National ID Code boxes blank.

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Country	National Identifier	National ID Code	Country specific instructions for completing Section C
Denmark	Personal Identity Code	DKI	Enter the requested National Identifier and National ID Code details, or tick to confirm if you do not have it.
*Estonia	Estonian Personal Identification Code (Isikukood)	EEI	
*Spain	Tax Identification Number (Código de identificación fiscal)	ESI	
Finland	Personal Identity Code	FI1	
France	No additional data required	FR1	Leave the National Identifier & National ID Code boxes blank.
United Kingdom	UK National Insurance Number	GB1	Enter the requested National Identifier and National ID Code details, or tick to confirm if you do not have this.
Greece	I0 DSS Digit Investor Share	GRI	
Croatia	Personal Identification Number (OIB – Osobni identifikacijski broj)	HRI	
Hungary	No additional data required	HUI	Leave the National Identifier & National ID Code boxes blank.
Ireland	No additional data required	IR1	
*Iceland	Personal Identity Code	ISI	Enter the requested National Identifier and National ID Code details, or tick to confirm if you do not have this.
*Italy	Fiscal code (Codice Fiscale)	IT1	
Liechtenstein	First Priority: Personal code (Asmens Kodas)	LI1	Enter the National Identifier & National ID Code details in the order of priority indicated. If you are unable to provide the first priority, tick to confirm this and complete the second priority details, or tick to confirm you do not have it.
	Second Priority: National Passport Number	LI2	
Lithuania	First Priority: Personal code (Asmens Kodas)	LT1	
	Second Priority: National Passport Number	LT2	
Luxembourg	No additional data required	LUI	Leave the National Identifier & National ID Code boxes blank.
Latvia	Personal Code (Personas Kods)	LVI	Enter the requested National Identifier and National ID Code details, or tick to confirm if you do not have this.

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Country	National Identifier	National ID Code	Country specific instructions for completing Section C
*Malta	First Priority: National Identification Number	MT1	Enter the National Identifier & National ID Code details in the order of priority indicated. If you are unable to provide the first priority, tick to confirm this and complete the second priority details, or tick to confirm you do not have it.
	Second Priority: National Passport Number	MT2	
Netherlands	First Priority: National Passport Number	NL1	
	Second Priority: National Identity Card Number	NL2	
Norway	11 digit Personal ID (Foedselsnummer)	NO1	Enter the requested National Identifier & National ID Code details, or tick to confirm if you do not have this.
*Poland	First Priority: National Identification Number (PESEL)	PL1	Enter the National Identifier & National ID Code in the order of priority indicated. If you are unable to provide the first priority, tick to confirm this and complete the second priority details, or tick to confirm you do not have it.
	Second Priority: Tax Number (Number Identyfikacji podatkowej)	PL2	
Portugal	First Priority: Tax Number (Número de Identificação Fiscal)	PT1	
	Second Priority: National Passport Number	PT2	
Romania	First Priority: National Identification Number (Cod Numeric Personal)	RO1	Enter the requested National Identifier and National ID Code details or tick to confirm if you do not have this.
	Second Priority: National Passport Number	RO2	
Sweden	Personal Identity Number	SE1	
Slovenia	Personal Identification Number (EMŠO: Enotna Matična Številka Občana)	SL1	
Slovakia	First Priority: Personal Number (Rodné číslo)	SK1	Enter the National Identifier details in the order of priority indicated. If you are unable to provide the first priority, tick to confirm this and complete the second priority details, or tick to confirm you do not have it.
	Second Priority: National Passport Number	SK2	
All other Countries (including Crown Dependencies such as Jersey, Guernsey, Isle of Man etc)	National Passport Number	XX1	Enter the requested National Identifier and National ID Code or tick to confirm you do not have it. If you are a national of more than one non-EEA country, please refer to www.nationalitycalculator.co.uk or contact our Customer Experience Centre to assist in establishing your primary nationality.