

To open an Investment Account please visit [www.shareview.co.uk](http://www.shareview.co.uk) or complete and sign this application form and post it to the address given in the form. Please read this form in conjunction with the Equiniti Investment Account and Shareview Dealing ISA Terms and Conditions. If you have any questions about this form please call Customer Services on **0345 300 0430**. Please complete all fields in this form (where applicable).

If a company has made you aware of this product, or you have a promotional code, please complete the company name/code here:

### Section A Your personal details

Title Mr  Mrs  Miss  Ms  Other

First Name(s)

Last Name(s)

Date of Birth

Daytime Telephone Number

Email Address

Country of Birth  Town of Birth

Mother's Maiden Name

Permanent Home Address   
Postcode

#### Tax Residence Details:

Country of Tax Residence

National Insurance Number/  
Tax Identification Number

I have no National Insurance Number/  
Tax Identification Number

If you do not have a National Insurance Number/  
Tax Identification Number, please indicate why

Additional Country of Tax Residence (1)

(2)

Taxpayer Identification Number (1)

(2)

Are you a United States Green Card holder? Yes  No

#### Nationality Details:

Please disclose your nationality details, by completing the country of each (up to three) in the boxes below.

  

#### Primary Nationality Details:

If you have **sole UK Nationality**, you do not need to complete this part. For all other customers, you will need to establish your Primary Nationality and complete the relevant National Identifier & National ID Code for this in the box below, according to the instructions in the **Appendix** (at the end of the form) or using [www.nationalitycalculator.co.uk](http://www.nationalitycalculator.co.uk).

	National Identifier	National ID Code	Tick if unable to provide
First Priority	<input type="text"/>	<input type="text" value="1"/>	<input type="checkbox"/>
Second Priority	<input type="text"/>	<input type="text" value="2"/>	<input type="checkbox"/>

**Section A** Joint Applicant details (if applicable)

**Second Applicant**

Title Mr  Mrs  Miss  Ms  Other

First Name(s)

Last Name(s)

Date of Birth

Daytime Telephone Number

Email Address

Country of Birth  Town of Birth

Mother's Maiden Name

Permanent Home Address

Postcode

**Tax Residence Details:**

Country of Tax Residence

National Insurance Number/  
Tax Identification Number  I have no National Insurance Number/  
Tax Identification Number

If you do not have a National Insurance Number/  
Tax Identification Number, please indicate why

Additional Country of Tax Residence (1)  (2)

Taxpayer Identification Number (1)  (2)

Are you a United States Green Card holder? Yes  No

**Nationality Details:**

Please disclose your nationality details, by completing the country of each (up to three) in the boxes below.

**Primary Nationality Details:**

If you have **sole UK Nationality**, you do not need to complete this part. For all other customers, you will need to establish your Primary Nationality and complete the relevant National Identifier & National ID Code for this in the box below, according to the instructions in the **Appendix** (at the end of the form) or using [www.nationalitycalculator.co.uk](http://www.nationalitycalculator.co.uk).

	National Identifier	National ID Code	Tick if unable to provide
First Priority	<input type="text"/>	<input type="text" value="1"/>	<input type="checkbox"/>
Second Priority	<input type="text"/>	<input type="text" value="2"/>	<input type="checkbox"/>

**Section A** Joint Applicant details (if applicable)

**Third Applicant**

Title Mr  Mrs  Miss  Ms  Other

First Name(s)

Last Name(s)

Date of Birth

Primary Contact Number

Email Address

Country of Birth  Town of Birth

Mother's Maiden Name

Permanent Home Address

Postcode

**Tax Residence Details:**

Country of Tax Residence

National Insurance Number/  
Tax Identification Number  I have no National Insurance Number/  
Tax Identification Number

If you do not have a National Insurance Number/  
Tax Identification Number, please indicate why

Additional Country of Tax Residence (1)  (2)

Taxpayer Identification Number (1)  (2)

Are you a United States Green Card holder? Yes  No

**Nationality Details:**

Please disclose your nationality details, by completing the country of each (up to three) in the boxes below.

**Primary Nationality Details:**

If you have **sole UK Nationality**, you do not need to complete this part. For all other customers, you will need to establish your Primary Nationality and complete the relevant National Identifier & National ID Code for this in the box below, according to the instructions in the **Appendix** (at the end of the form) or using [www.nationalitycalculator.co.uk](http://www.nationalitycalculator.co.uk).

	National Identifier	National ID Code	Tick if unable to provide
First Priority	<input type="text"/>	<input type="text" value="1"/>	<input type="checkbox"/>
Second Priority	<input type="text"/>	<input type="text" value="2"/>	<input type="checkbox"/>

**Section A** Joint Applicant details (if applicable)

**Fourth Applicant**

Title Mr  Mrs  Miss  Ms  Other

First Name(s)

Last Name(s)

Date of Birth

Daytime Telephone Number

Email Address

Country of Birth  Town of Birth

Mother's Maiden Name

Permanent Home Address

Postcode

**Tax Residence Details:**

Country of Tax Residence

National Insurance Number/  
Tax Identification Number  I have no National Insurance Number/  
Tax Identification Number

If you do not have a National Insurance Number/  
Tax Identification Number, please indicate why

Additional Country of Tax Residence (1)  (2)

Taxpayer Identification Number (1)  (2)

Are you a United States Green Card holder? Yes  No

**Nationality Details:**

Please disclose your nationality details, by completing the country of each (up to three) in the boxes below.

**Primary Nationality Details:**

If you have **sole UK Nationality**, you do not need to complete this part. For all other customers, you will need to establish your Primary Nationality and complete the relevant National Identifier & National ID Code for this in the box below, according to the instructions in the **Appendix** (at the end of the form) or using [www.nationalitycalculator.co.uk](http://www.nationalitycalculator.co.uk).

	National Identifier	National ID Code	Tick if unable to provide
First Priority	<input type="text"/>	<input type="text" value="1"/>	<input type="checkbox"/>
Second Priority	<input type="text"/>	<input type="text" value="2"/>	<input type="checkbox"/>

## Section B Employer Contract Notes

Does your employer need copies of your contract notes?

Please note: we can only issue one copy contract note per account

Yes

No

If so, please provide your employers's details (including contact name, UK office address and postcode)

## Section C Banking Details

You must set up a Direct Debit to us from your nominated bank account by filling in this section. This will allow you to pay money into your Shareview Dealing account and allow us to transfer any income you receive from dividends and proceeds from sales to your bank account. The nominated bank account provided must be held in the name of all applicants.

Fees may be deducted from the cash balance of your Investment Account. If we are unable to deduct fees either from your cash balance or from your nominated bank account, shares will be sold from your account to meet this charge.

### Monthly payments from your bank account to your Investment Account

You may set up a monthly payment instruction to fund your Investment Account.

If you would like to make regular payments please enter the amount you would like to transfer each month.

£

Your bank account will be debited on the 27<sup>th</sup> day of each month or the nearest business day thereafter.

### Instruction to your bank or building society to pay by Direct Debit

Name and full postal address of your bank or building society:



To: The Manager

Bank/Building Society

Bank/Building Society address

Postcode

Service User Number

Service User Reference (if applicable)

### Instruction to your bank or building society

Please pay Equiniti Financial Services Limited Direct Debits from the account detailed in this instruction, subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Equiniti Financial Services Limited and, if so, will be passed electronically to my bank/building society.

Signature(s)

Print name(s)

Date

We recommend that you retain a copy of the Direct Debit guarantee

### The Direct Debit Guarantee

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits.
- If there are any changes to the amount, date or frequency of your Direct Debit, Equiniti Financial Services Limited will notify you 5 working days in advance of your account being debited or as otherwise agreed. If you request Equiniti Financial Services Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Equiniti Financial Services Limited or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
- If you receive a refund you are not entitled to, you must pay it back when Equiniti Financial Services Limited asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.



## Section D Funding your Investment Account

1. I/We enclose a cheque in my/our own name(s) payable to "Equiniti Financial Services Limited" in order to subscribe to my/our Equiniti Investment Account. I/We understand that this will be returned to me/us should my/our application be unsuccessful. Please write the amount of the cheque in this box.

£

2. I/We wish to credit funds by Maestro or VISA Delta Debit Card to my/our Equiniti Investment Account. (You can do this on the secure website or by contacting Customer Services once your application has been accepted).
3. I/We have completed the request to make a payment or regular payment from my/our nominated bank account to my/our Equiniti Investment Account in Section C of this application form. If making regular payments, I/We understand these will be taken on the 27<sup>th</sup> of the month, and will begin once my/our application has been accepted.
4. I/We wish to transfer from another plan Manager. I/We have completed and signed an Investment Account Transfer form.
5. I/We wish to transfer Certificated Shares into my/our Investment Account. I/We have completed a CREST transfer form for each holding.

## Section E Dividends and income preference

Dividends will be paid into the cash balance of your account unless you instruct otherwise.

### Income preference

Please indicate your preference regarding cash dividends paid into your account by choosing one of the following two options:

Please retain any income I receive in the cash balance of my account

or

Please pay any income I receive to my nominated bank account:

Monthly  Quarterly  Half-yearly  Yearly  on  day of the month (1–28 only)

Please note, you can reinvest the income into further shares of the issuing company, at a commission of £1.75 per stock on selected securities. You can set this up online or by calling Customer Services, once the stocks are in your account.

## Section F Declarations

### I/We declare that

- I am/We are over 18 years of age and wish to open an Equiniti Investment Account as indicated in this Application Form.
- I/We agree to be bound by the Equiniti Investment Account Terms and Conditions which form part of this Application Form.
- I/We have retained a copy of the Terms and Conditions
- I/We agree Equiniti Financial Services Limited may also contact me/us about other services and products which may be of interest to me/us. (If you do not want to be contacted, you can inform us at any time).
- I am aware that in certain circumstances Equiniti will be obliged to share some of this information with UK tax authorities, who may pass it on to other tax authorities

Signature

Date

D	D	M	M	Y	Y	Y	Y
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Joint Applicant Signature(s) (if applicable)

Date

D	D	M	M	Y	Y	Y	Y
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Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

## Next Steps

### Please return this form to:

Equiniti  
PO Box 4605  
Aspect House  
Spencer Road  
Lancing  
BN99 6QY

If your application is accepted you will receive the following:

- Your account number
- Under separate cover, your PIN, which you will need to change the first time you log in on the Shareview Dealing website.

You will receive most communications from us by online secure message, accessible from your account. Should you wish to change this preference, please call **0345 300 0430**.

If your application is unsuccessful you may be required to provide additional ID documents

## Appendix National Identifier Instructions

Below, you will find a table that lists the countries of the European Economic Area (EEA), including a section for any non-EEA countries, and details of each country's requested National Identifier. Following the instructions and using the information provided in the table, please establish your **Primary Nationality** & complete the relevant **National Identifier** details in Section A, for all account applicants. Alternatively you can refer to [www.nationalitycalculator.co.uk](http://www.nationalitycalculator.co.uk).

You are **not** required to complete the National Identifier details in Section A if you are a UK National **only**.

Using the table

### 1. Establishing your Primary Nationality

Referring to the table below and the **Country column**, whichever of your nationalities is listed **highest** will be your **Primary Nationality**. (Please note, the countries are ordered alphabetically according to the National ID Code). E.g. if you are a national of the United Kingdom and Spain, as Spain is listed higher than the UK in the Country column list, your Primary Nationality will be Spain.

### 2. Identifying your National Identifier

Once you have established your **Primary Nationality**, you must provide us with the details of a relevant **National Identifier** as requested in the table, in the order of priority as indicated in each field. Please refer to the country specific instructions in the table for further guidance. E.g. using the same example as above, if your country of Primary Nationality is Spain, you will need to complete your Spanish Tax Identification Number & the National ID Code (ESI) in Section A.

#### Please note:

- If you are unable to provide a National Identifier, please complete the National ID Code & **tick the box** in Section A to confirm that you are unable to provide the requested details.
- You only need to provide the details of **one** National Identifier **in the order of priority** listed in each field. E.g. if you have established that your country of Primary Nationality is Czech Republic, you should only provide us with your Czech Republic National Identification Number if you are unable to provide your National Identification Number in the first instance and tick to confirm you are unable to provide the first priority National Identifier.
- \*If you have established your **Primary Nationality** as one of the following countries, **Estonia, Spain, Iceland, Italy, Malta or Poland** and you are unable to provide a National Identifier as requested, **trading rules will prevent us from accepting your instruction to trade or transfer**.

Please contact **Customer Services** if you have any questions regarding this table and/or completing the relevant details in **Section A**.

Country	National Identifier	National ID Code	Country specific instructions for completing Section A
Austria	No additional data required	ATI	Leave the National Identifier & National ID Code boxes blank.
Belgium	<b>Belgian National Number (Numéro de register national – Rijksregisternummer)</b>	BEI	Enter the requested National Identifier and National ID Code details, or tick to confirm if you do not have this.
Bulgaria	<b>Bulgarian Personal Number</b>	BGI	
Cyprus	<b>National Passport Number</b>	CYI	
Czech Republic	First Priority: <b>National Identification Number (Rodné číslo)</b>	CZ1	Enter the National Identifier & National ID Code details in the order of priority indicated. If you are unable to provide the first priority, tick to confirm this and complete the second priority details, or tick to confirm you do not have it.
	Second Priority: <b>National Passport Number</b>	CZ2	
Germany	No additional data required	DEI	Leave the National Identifier & National ID Code boxes blank.
Denmark	<b>Personal Identity Code</b>	DKI	Enter the requested National Identifier and National ID Code details, or tick to confirm if you do not have it.
*Estonia	<b>Estonian Personal Identification Code (Isikukood)</b>	EEI	
*Spain	<b>Tax Identification Number (Código de identificación fiscal)</b>	ESI	
Finland	<b>Personal Identity Code</b>	FII	Leave the National Identifier & National ID Code boxes blank.
France	No additional data required	FR1	
United Kingdom	<b>UK National Insurance Number</b>	GBI	
Greece	<b>I0 DSS Digit Investor Share</b>	GRI	Enter the requested National Identifier and National ID Code details, or tick to confirm if you do not have this.
Croatia	<b>Personal Identification Number (OIB – Osobni identifikacijski broj)</b>	HRI	



## Appendix National Identifier Instructions continued

Country	National Identifier	National ID Code	Country specific instructions for completing Section A
Hungary	No additional data required	HU1	Leave the National Identifier & National ID Code boxes blank.
Ireland	No additional data required	IR1	
*Iceland	<b>Personal Identity Code</b>	IS1	
*Italy	<b>Fiscal code (Codice Fiscale)</b>	IT1	Enter the requested National Identifier and National ID Code details, or tick to confirm if you do not have this.
Liechtenstein	First Priority: <b>National Passport Number</b>	L11	Enter the National Identifier & National ID Code details in the order of priority indicated. If you are unable to provide the first priority, tick to confirm this and complete the second priority details, or tick to confirm you do not have it.
	Second Priority: <b>National Identity Card Number</b>	L12	
Lithuania	First Priority: <b>Personal code (Asmens Kodas)</b>	LT1	
	Second Priority: <b>National Passport Number</b>	LT2	
Luxembourg	No additional data required	LU1	Leave the National Identifier & National ID Code boxes blank.
Latvia	<b>Personal Code (Personas Kods)</b>	LVI	Enter the requested National Identifier & National ID Code details, or tick to confirm if you do not have this.
*Malta	First Priority: <b>National Identification Number</b>	MT1	Enter the National Identifier & National ID Code details in the order of priority indicated. If you are unable to provide the first priority, tick to confirm this and complete the second priority details, or tick to confirm you do not have it.
	Second Priority: <b>National Passport Number</b>	MT2	
Netherlands	First Priority: <b>National Passport Number</b>	NL1	Enter the requested National Identifier & National ID Code details, or tick to confirm if you do not have this.
	Second Priority: <b>National Identity Card Number</b>	NL2	
Norway	<b>11 digit Personal ID (Foedselsnummer)</b>	NO1	Enter the requested National Identifier & National ID Code details, or tick to confirm if you do not have this.
*Poland	First Priority: <b>National Identification Number (PESEL)</b>	PL1	Enter the National Identifier details in the order of priority indicated. If you are unable to provide the first priority, tick to confirm this and complete the second priority details, or tick to confirm you do not have it.
	Second Priority: <b>Tax Number (Number Identyfikacji podatkowej)</b>	PL2	
Portugal	First Priority: <b>Tax Number (Número de Identificação Fiscal)</b>	PT1	
	Second Priority: <b>National Passport Number</b>	PT2	
Romania	First Priority: <b>National Identification Number (Cod Numeric Personal)</b>	RO1	
	Second Priority: <b>National Passport Number</b>	RO2	
Sweden	<b>Personal Identity Number</b>	SE1	Enter the requested National Identifier and National ID Code details or tick to confirm if you do not have this.
Slovenia	<b>Personal Identification Number (EMŠO: Enotna Matična Številka Občana)</b>	SL1	
Slovakia	First Priority: <b>Personal Number (Rodné číslo)</b>	SK1	Enter the National Identifier details in the order of priority indicated. If you are unable to provide the first priority, tick to confirm this and complete the second priority details or tick to confirm you do not have it.
	Second Priority: <b>National Passport Number</b>	SK2	
All other Countries (including Crown Dependencies such as Jersey, Guernsey, Isle of Man etc)	<b>National Passport Number</b>	XX1	Enter the requested National Identifier and National ID Code or tick to confirm you do not have it. If you are a national of more than one non-EEA country, please refer to <a href="http://www.nationalitycalculator.co.uk">www.nationalitycalculator.co.uk</a> or contact Customer Services who will be able to assist you in establishing your Primary Nationality.