

# Equiniti Shareview Dealing Third Party Authorisation Form



## Certificated & CSN Trading only

Please complete all the fields in this form (where applicable).

### Section A: Primary Applicant personal details

---

Title  Mr  Mrs  Miss  Ms  Other

First name(s)

Last name(s)

Postal address

Telephone Number

Date of Birth

Email address

Day Month Year

### Section B: Agent's details

---

Title  Mr  Mrs  Miss  Ms  Other

First name(s)

Last name(s)

Postal address

Telephone Number

Date of Birth

Email address

Day Month Year

### Section C: Declaration

---

You hereby authorise the individual whose details appear in Section B ("the Agent") as your agent to give instructions in respect of buying and selling shares in your name held in certificates or in an Equiniti Corporate Sponsored Nominee account.

You hereby authorise Equiniti Financial Services Limited to accept and act on all such orders and instructions received from the Agent relating to your shares. You hereby confirm agreement with all orders and instructions received from the Agent relating to your shares. Notwithstanding the above authorisation of the Agent, you will continue to be able to give instructions and orders to us in accordance with the relevant Shareview Dealing Terms and Conditions. In the event of any contradictory instructions or orders being received, Equiniti Financial Services Limited will be entitled to act on the instruction or order first received.

You agree that Shareview Dealing will not be responsible for any losses, costs, liabilities or expenses incurred by you as a result of any dispute between you and the Agent in respect of any matter connected to trades or any contradictory instructions or orders received from you and the Agent.

# Third Party Authorisation Form



## Section C: Declaration continued

### Contract notes and payments

Contract notes and other confirmations will continue to be sent to you in accordance with the relevant Shareview Dealing Terms and Conditions. Payments will be made to you.

### Termination

You may terminate this third party authorisation at any time by informing Shareview Dealing in writing. Termination will take effect only when Shareview Dealing has received and processed your written instruction.

### Agreement of the Agent

- I confirm I am over 18 years of age and resident in the UK;
- I agree to indemnify Equiniti Financial Services Limited and its associated companies against any losses, costs, liabilities or expenses incurred by us or them arising directly or indirectly from any unauthorised transaction;
- I agree to comply with all applicable laws, rules and regulations in exercising the Agent's authority hereunder including, without limitation, the Financial Services and Markets Act 2000;
- I agree that this third party authorisation constitutes the entire agreement between the Agent and Shareview Dealing in relation to the subject matter hereof; and
- I agree to be bound by the relevant Shareview Dealing Terms and Conditions.

### Sign the form

To sign this form you may provide an electronic signature. You can do this via your Adobe Acrobat Reader DC, or Docusign which is a free of charge service.

Instructions to create an electronic signature are as follows:

1. On Adobe open the file, or on Docusign upload the file
2. In the editor, select sign and draw your signature. Then place your signature in the signed box below
3. Save the document and follow the return instruction below.

Please note, we cannot accept a typed or written name in the box below. Alternatively, you may print and sign the document

### Sole or First Named Holder

Signed

Date

Day Month Year

### Agents signature

Signed

Date

Day Month Year

### Additional Holders

Signed

Date

Day Month Year

To return your form, please send to [enquiries@equinitishareviewdealing.com](mailto:enquiries@equinitishareviewdealing.com), this and all related documents must be received from the email on your instruction. Forms received from an unregistered email account will not be actioned, if you have any queries related to the completion of this form, please contact the Customer Experience Centre on: 03456 037 037 Lines are open 08:00 - 17:30 Mon - Fri, excluding public holidays. Alternatively you can post the form to: **Equiniti, PO Box 4605, Aspect House, Spencer Road, Lancing, West Sussex, BN99 6QY.**

Shareview Dealing is provided by Equiniti Financial Services Limited. Equiniti Financial Services Limited is part of the Equiniti Group. Their registered offices are Aspect House, Spencer Road, Lancing, West Sussex BN99 6DA. Investment and general insurance services are provided through Equiniti Financial Services Limited, which is registered in England & Wales with No. 6208699 and is authorised and regulated by the UK Financial Conduct Authority no 468631. Equiniti Financial Services Limited is a member of the London Stock Exchange.