



Transfer shares out of Corporate Sponsored Nominee to another CREST participant **E**

HOW TO COMPLETE THIS FORM

Incomplete or incorrect forms will be returned without any instruction being carried out. This form must only be used to transfer shares out of a Corporate Sponsored Nominee to another CREST participant (i.e. broker).

- Section 1 must be completed in full, including details of any joint holders(s)
- Section 2 must be completed providing details of the CREST participant
(IMPORTANT this form cannot be used to change the beneficial ownership of the shares)
- The completed form (and cheque covering the relevant fee) must be sent to the address in Section 3

IMPORTANT

- Form should be completed in type or **BLOCK CAPITALS**
- This form cannot be used to transfer shares into an ISA more than 90 days after the shares were acquired

TRANSFER FEE

Transfer fee

£10.00*

(as at 31 January 2022)

*Waived if request is received within 90 days of the shares being transferred into the Nominee Service for the Company identified on this form.

Subject to change – please check the latest Nominee Service terms and conditions for the Company at www.sagashareholder.co.uk

Cheques to be made payable to

“Equiniti Financial Services Limited”

SECTION 1: DETAILS OF SHARES TO BE TRANSFERRED AND EXISTING HOLDER(S)

Issuer Company Name

Saga plc

Type of shares

Ordinary Shares of 15p each

Amount of shares to be transferred (words)

Amount of shares to be transferred (figures)

Existing Shareholder Reference

Holding Designation (if any)

	Name of existing holder(s)	Date of Birth (DD/MM/YY)	Signature(s)
1			
2			
3			
4			

Person(s) making transfer if different to holder(s) - If transfer is not made by beneficial holder the name(s), capacity (e.g. executor(s), of the person(s) making the transfer) and their date(s) of birth MUST be provided

1			
2			
3			
4			

Declaration: I/We request transfer of the number of shares stated, as held on my/our behalf in the Corporate Sponsored Nominee (as defined in the relevant Terms and Conditions) to the CREST participant detailed below. I/We request Equiniti Financial Services Limited to make such entries in the Register as are necessary to give effect to this transfer. I/We confirm that this transaction is not subject to Stamp Duty Reserve Tax.

Address of 1st named holder (including applicable post code)

Stamp of agent(s), if any, acting in this transaction

Date

Contact number (in the event of any queries)

Bodies corporate should execute under their common seal or in accordance with section 44 of the Companies Act, 2006.

SECTION 2: DETAILS OF ACCOUNT HELD WITH OTHER CREST PARTICIPANT

Account number held with CREST

CREST participant ID and member account

Full name and address of CREST Participant (i.e. broker/bank)

Contact name of CREST participant

Contact Number/Email address of CREST participant (in the event of any queries)

SECTION 3: GET IN TOUCH (COMPLETED FORMS AND APPLICABLE CHEQUE TO BE SENT TO THE POSTAL ADDRESS BELOW)



www.sagashareholder.co.uk



+44 (0) 371 384 2640

Please use the country code if calling from outside the UK. Lines open 8.30am to 5.30pm (UK time), Monday to Friday (excluding public holidays in England and Wales)



customer@equiniti.com



Corporate Sponsored Nominee Team,
Equiniti, Aspect House, Spencer Road, Lancing,
West Sussex, BN99 6DA United Kingdom

Checklist

When submitting the form, please make sure the form is fully completed and accurate:

- Does the form confirm the Company and type of shares to be transferred from the Corporate Sponsored Nominee?
- Does the form confirm the number of shares to be transferred in words and figures?
- Has the Shareholder Reference been provided (as found on Nominee statements or dividend confirmations)?
- Has any relevant holding designation relating to the shares been provided?
- Have details of all holders (including joint holders) been provided (including dates of birth)?
- Has the registered address and post code for the 1st named holder been provided (if shares are held in sole name)?
- Has a contact number been provided in the event of any queries on the form?
- Has the form been signed by all holder(s) (including any joint holders)?
- If the shares are held in the name of a corporate, has the form been stamped with their common seal or in accordance with section 44 of the Companies Act 2006?
- Has the form been dated?
- If the form is being completed on behalf of a holder, have the details of all legal representative(s) (i.e. executors) been provided along with their date(s) of birth and capacity?
- Have the account details held with the other CREST participant been provided?
- Have contact details for the other CREST participant been provided?
- Has the CREST participant ID and member account details been provided for the other CREST participant?