



Self-Certification for Individuals

Under Automatic Exchange of Financial Account Information

Financial Institutions are required under UK law to review and collect details of accounts and to report details about certain Investment Trust Companies and those who are tax resident outside the UK.



Please refer to the accompanying guidance notes and/or contact your tax advisor to help complete this form.



Please pay special attention to fields marked with a pen symbol and follow the colour codes for mandatory fields.

Mandatory **Additional** **Information**

SHAREHOLDING: The Company in which the shares are held

Company:

Shareholder Reference:

SECTION A: ACCOUNT HOLDER INFORMATION

Full Name:

[Red shaded input field]

Address of Permanent Residence:

[Red shaded input field]

[Red shaded input field]

Post Code/ZIP Code:

[Yellow shaded input field]

Country:

[Red shaded input field]

Date of Birth: (DD/MM/YYYY)

[Red shaded input field] [Yellow shaded input field] [Yellow shaded input field] / [Yellow shaded input field] [Yellow shaded input field] [Yellow shaded input field] [Yellow shaded input field] [Yellow shaded input field]

Country of Birth:

[Red shaded input field]

SECTION B: TAX RESIDENCY – US CITIZENS

If you are a US Citizen, please provide your 9 digit US Tax Identification Number (TIN).

[Red shaded input field] [Red shaded input field] [Red shaded input field] - [Red shaded input field] [Red shaded input field] - [Red shaded input field] [Red shaded input field] [Red shaded input field]

If you are NOT a US Citizen, please tick the box below:

I confirm that I am not a US Citizen

SECTION C: TAX RESIDENCY – OTHER

Please indicate ALL other countries in which you are resident for tax purposes and the relevant Tax Identification Number or functional equivalent (for UK tax residents, this will be your National Insurance Number).

Country/Countries of Tax Residency:

Do you have a TIN or NI number: (please tick)

Tax Identification Number/ National Insurance Number:

1.	YES <input type="checkbox"/>	NO <input type="checkbox"/>	[Red shaded input field]	[Red shaded input field]	[Red shaded input field]	[Red shaded input field]	[Red shaded input field]	[Red shaded input field]	[Red shaded input field]
2.	YES <input type="checkbox"/>	NO <input type="checkbox"/>	[Yellow shaded input field]	[Yellow shaded input field]	[Yellow shaded input field]	[Yellow shaded input field]	[Yellow shaded input field]	[Yellow shaded input field]	[Yellow shaded input field]
3.	YES <input type="checkbox"/>	NO <input type="checkbox"/>	[Yellow shaded input field]	[Yellow shaded input field]	[Yellow shaded input field]	[Yellow shaded input field]	[Yellow shaded input field]	[Yellow shaded input field]	[Yellow shaded input field]

If you ticked the "No TIN/No NI number" box, please explain why below:

[Red shaded input field]

SECTION D: DECLARATION

- I undertake to advise promptly of any change in circumstances which causes the information contained herein to become incorrect or incomplete and to provide the above-named company with an updated declaration within 30 days of such a change in circumstances.
- I am aware that in certain circumstances – for example, if I am tax resident or deemed resident of a Reportable Jurisdiction – the above-named company will be obliged to share this information with UK tax authorities, who may pass it on to other tax authorities.
- I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.
- I am legally allowed to sign on behalf of the above-named holder. (If you are signing as Power of Attorney, and have not already advised us, submit a copy with this form).

Signature:

[Red shaded input field]

Print Name:

[Red shaded input field]

Date: (DD/MM/YYYY)

[Red shaded input field] [Yellow shaded input field] [Yellow shaded input field] / [Yellow shaded input field] [Yellow shaded input field] [Yellow shaded input field] [Yellow shaded input field] [Yellow shaded input field]

Capacity:

[Yellow shaded input field]

CHECKLIST

Please ensure you complete all of the mandatory fields which are clearly shaded in **RED**:

- Address
- Country of Birth
- Date of Birth
- US Citizen

Please ensure you read the Guidance Notes on the reverse of the form. You MUST provide your US TIN if you are a US Citizen, in Section B.

- Country/Countries of Tax Residency and related TINs

We have given space for 3, please continue on a separate sheet should you have more than this. If you do not have a TIN please explain the reason for this.

- Declaration

Please ensure you sign, print your name, add the date and the Capacity if appropriate.